



DATE: October 13, 2020
TO: Companies licensed in Wisconsin as domestic and non-domestic insurers
FROM: Mark V. Afable, Commissioner of Insurance
SUBJECT: Change in Filings to the Division of Financial Regulation

The Office of the Commissioner of Insurance (OCI) has implemented a Financial Filing Portal for companies licensed as domestic and non-domestic insurers to make financial related filings electronically with the Division of Financial Regulation. Use of the Financial Filing Portal is optional but highly encouraged. The following is a list of filings that can be made through the OCI Financial Filing Portal:

- Articles of Incorporation
- Bylaws
- Change in Business Plan
- Change in Company Information
- Derivative Use Plans
- Disclaimer of Control
- Extraordinary Distribution Request
- Financial Reporting Items
 - Actuarial Opinion
 - Annual and Quarterly Financial Statements
 - Annual and Quarterly Supplements
 - CPA Audit Opinion and Supplements
 - Corporate Governance Annual Disclosure
 - Form B and C Holding Company Registration
 - Form F – Enterprise Risk Reporting
 - Own Risk Solvency Assessment Report
- Form D (Affiliated Transactions)
- Line of Business Change Request
- Ordinary Distribution Notice
- Surplus Note Request
- Surplus Note Interest Request

Prior to making your first filing, you must get a login and password to the Financial Filing Portal. Please see the information at <https://oci.wi.gov/Pages/Companies/MakeFinancialFilings.aspx> to get set-up to make any of the above filings.

We are also accepting applications for new insurers and changes in control, mergers, and acquisitions electronically. To file this, **you do not need a login and password**. Please visit the following for making these filings:

- Application of Licensure (<https://oci.wi.gov/Pages/Companies/AppPackets.aspx>) – Select the type of application for the link for that application
- Change in Domestic Company Status (<https://oci.wi.gov/Pages/Companies/ApplicationForChangeInDomesticCompanyStatus.aspx>)

For questions regarding filings through the Financial Filing Portal, contact the Division of Financial Regulation at ocifinancial@wisconsin.gov.